

08-31-01

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PTO/SB/05 (08-00)

|   |              |  |              |
|---|--------------|--|--------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b>   |              | Attorney Docket No.      Beiersdorf 740-WCG  |              |
| (Only for new nonprovisional applications under 37 CFR 1.53(b))   |              | First Inventor      Holger KNAACK  |              |
|   |              | Title      [See Appendix]  |              |
|   |              | Express Mail Label No.      EL867734875US  |              |
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.   |              | <b>ADDRESS TO:</b><br>Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231   |              |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br><i>(Submit an original and a duplicate for fee processing)</i><br>2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.<br>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>18</u> ]<br>(Preferred arrangement set forth below)<br>- Descriptive title of the invention<br>- Cross Reference to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to sequence listing, a table, or a computer program listing appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Detailed Description of the Drawings <i>(if filed)</i><br>- Claim(s)<br>- Abstract of the Disclosure<br>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Pages <u>8</u> ]<br>5. <input type="checkbox"/> Oath or Declaration [Total Pages <u>    </u> ]<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br><i>(For continuation/divisional with Box 17 completed)</i><br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed Statement attached deleting inventor(s)<br>Named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33(b).<br>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 |              | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i><br>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(If applicable, all necessary)</i><br>a. <input type="checkbox"/> Computer Readable Forms (CRF)<br>b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies   |              |
| 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:<br><br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No: _____<br>Prior application information:    Examiner: _____    Group / Art Unit: _____  |              | <b>ACCOMPANYING APPLICATION PARTS</b><br><br>9. <input type="checkbox"/> Assignment Paper (cover sheet & document(s))<br>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(When there is an assignee)</i><br>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i><br>12. <input checked="" type="checkbox"/> Information Disclosure <input checked="" type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449<br>13. <input checked="" type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i><br>15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <i>(If foreign priority is claimed)</i><br>16. <input checked="" type="checkbox"/> Other <u>Letter to the Official Draftsman - attaching drawings - 8 sheets (Figs. 1-8); Appendix</u> |              |
| <b>18. CORRESPONDENCE ADDRESS</b><br><br><input type="checkbox"/> Customer Number or bar Code Label      or <input type="checkbox"/> Correspondence address below<br>(Insert Customer No. or Attach bar code label here)  |              |  |              |
| Name      William C. Gerstenzang  |              | Address      Norris McLaughlin & Marcus, P.A.<br>220 East 42 <sup>nd</sup> Street - 30 <sup>th</sup> Floor   |              |
| City  | New York     | State  | New York     |
| Country   | USA          | Zip Code   | 10017        |
| Telephone   | 212-808-0700 | Fax  | 212-808-0844 |
| Name (Print/Type)      William C. Gerstenzang   |              | Registration No. (Attorney/Agent)      27,552  |              |
| Signature <i>[Signature]</i>  |              | Date      August 30, 2001  |              |

1132 U.S. PTO  
 09/942836

08/30/01

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   | To Be Assigned     |
| Filing Date          | Herewith           |
| First Named Inventor | Holger KNAACK      |
| Examiner Name        | To Be Assigned     |
| Group Art Unit       | To Be Assigned     |
| Attorney Docket No.  | Beiersdorf 740-WCG |

TOTAL AMOUNT OF PAYMENT \$710.00

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **14-1263**

Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR § 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity Code | Small Entity Code | Fee (\$) | Fee (\$) | Fee Description        | Fee Paid |
|-------------------|-------------------|----------|----------|------------------------|----------|
| 101               | 710               | 201      | 355      | Utility filing fee     | 710.00   |
| 106               | 320               | 206      | 160      | Design filing fee      |          |
| 107               | 490               | 207      | 245      | Plant filing fee       |          |
| 108               | 710               | 208      | 355      | Reissue filing fee     |          |
| 114               | 150               | 214      | 75       | Provisional filing fee |          |
| SUBTOTAL (1)      |                   |          |          |                        | \$710.00 |

#### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 8                  | -20** = 0    | X 18.00 =      | 0.00     |
| Independent Claims | 1 - 3** = 0  | X 80.00 =      | 0.00     |
| Multiple Dependent |              |                |          |

| Large Entity Code | Small Entity Code | Fee (\$) | Fee (\$) | Fee Description  |
|-------------------|-------------------|----------|----------|--|
| 103               | 18                | 203      | 9        | Claims in excess of 20                                     |
| 102               | 80                | 202      | 40       | Independent claims in excess of 3                          |
| 104               | 270               | 204      | 135      | Multiple dependent claim, if not paid                      |
| 109               | 80                | 209      | 40       | ** Reissue independent claims over original patent         |
| 110               | 18                | 210      | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$0.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|-----------------------|----------|----------|--|----------|
| 105                   | 130                   | 205      | 65       | Surcharge - late filing fee or oath  |          |
| 127                   | 50                    | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                   | 130                   | 139      | 130      | Non - English specification  |          |
| 147                   | 2,520                 | 147      | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                   | 920*                  | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                   | 1,840*                | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115                   | 110                   | 215      | 55       | Extension for reply within first month                                     |          |
| 116                   | 390                   | 216      | 195      | Extension for reply within second month                                    |          |
| 117                   | 890                   | 217      | 445      | Extension for reply within third month                                     |          |
| 118                   | 1,390                 | 218      | 695      | Extension for reply within fourth month                                    |          |
| 128                   | 1,890                 | 228      | 945      | Extension for reply within fifth month                                     |          |
| 119                   | 310                   | 219      | 155      | Notice of Appeal   |          |
| 120                   | 310                   | 220      | 155      | Filing a brief in support of an appeal                                     |          |
| 121                   | 270                   | 221      | 135      | Request for oral hearing   |          |
| 138                   | 1,510                 | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                   | 110                   | 240      | 55       | Petition to revive - unavoidable   |          |
| 141                   | 1,240                 | 241      | 620      | Petition to revive - unintentional   |          |
| 142                   | 1,240                 | 242      | 620      | Utility issue fee (or reissue)   |          |
| 143                   | 440                   | 243      | 220      | Design issue fee   |          |
| 144                   | 600                   | 244      | 300      | Plant issue fee  |          |
| 122                   | 130                   | 122      | 130      | Petitions to the Commissioner  |          |
| 123                   | 50                    | 123      | 50       | Processing fee under 37 CFR § 1.17(q)                                      |          |
| 126                   | 180                   | 126      | 180      | Submission of Information Disclosure Statement                             |          |
| 581                   | 40                    | 581      | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146                   | 710                   | 246      | 355      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                   | 710                   | 249      | 355      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                   | 710                   | 279      | 355      | Request for Continued Examination (RCE)                                    |          |
| 169                   | 900                   | 169      | 900      | Request for expedited examination of a design application                  |          |
| Other fee             |                       |          |          |  |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0.00

### SUBMITTED BY

|                   |                       |                                   |                 |           |              |
|-------------------|-----------------------|-----------------------------------|-----------------|-----------|--------------|
| Name (Print/Type) | William C. Gersenzang | Registration No. (Attorney/Agent) | 27,552          | Telephone | 212-808-0700 |
| Signature         |                       | Date                              | August 30, 2001 |           |              |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on**

Beiersdorf 740-WCG  
6713-St-bb

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number EL 867734875 US

Date of Deposit August 30, 2001

I hereby certify that the following items:

1. Utility Patent Application Transmittal
2. Fee Transmittal in duplicate - **CHARGE DEPOSIT ACCOUNT**
3. Application consisting of  
Description - pages 1-14; 8 Claims - pages 15-17; Abstract - 1 page  
and Drawings - 8 sheets (Figs. 1-8)
4. Preliminary Amendment - 5 pages
5. Letter to the Official Draftsman - attaching 8 sheets ( Figs. 1-8)
6. Transmittal of Priority Document
7. German Priority Document No. 100 43 850.4
8. Information Disclosure Statement
9. PTO 1449, citing and attaching two (2) references
10. Appendix
11. Return Receipt Post Card

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: Hon. Commissioner for Patents, Washington, D.C. 20231, Box Patent Application.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By  \_\_\_\_\_

Attorney Docket No. : Beiersdorf 740-WCG  
: 6713-St-bb

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Holger KNAACK, Klaus-Peter STANGE and Günther SCHULTZ  
For : FOLDING BOX WITH BASE OFFSET TO THE INSIDE OF THE BOX  
Serial No. : To Be Assigned  
Filed : Herewith  
Art Unit : To Be Assigned  
Examiner : To Be Assigned

August 30, 2001

BOX PATENT APPLICATION  
The Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

**LETTER TO THE OFFICIAL DRAFTSMAN**

Submitted herewith for entry and approval are eight (8) sheets of formal drawings in the above-referenced application.

Respectfully submitted,

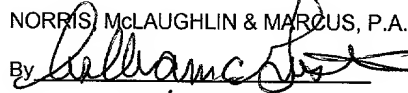
NORRIS, McLAUGHLIN & MARCUS, P.A.

By   
William C. Gerstenzang  
Reg. No. 27,552

WCG:gb  
Enc. - Formal Drawings (8 sheets)

220 East 42<sup>nd</sup> Street  
30<sup>th</sup> Floor  
New York, New York 10017  
Phone: (212) 808-0700  
Fax: (212) 808-0844

I hereby certify that this correspondence is being deposited with the United States Postal Services as Express Mail in an envelope addressed to: BOX PATENT APPLICATION, Hon. Assistant Commissioner for Patents, Washington, D.C. 20231 on August 30, 2001.

NORRIS, McLAUGHLIN & MARCUS, P.A.  
By   
Date 8/30/01

## APPENDIX

BEIERSDORF 740-WCG  
6713-St-bb

**TITLE:** FOLDING BOX WITH BASE OFFSET TO THE INSIDE OF THE BOX

**LIST OF INVENTORS:**

1. Holger KNAACK
2. Klaus-Peter STANGE
3. Günther SCHULTZ



**CLAIM TO PRIORITY:**

Priority is hereby claimed under 35 U.S.C. 119 on the basis of German Application Serial No. 100 43 850.4, filed on September 6, 2000

**"Express Mail" Mailing Label No. EL867734875US**  
Date of Deposit: August 30, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Hon. Commissioner for Patents, Washington, D.C. 20231, Box Patent Application.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By:

A handwritten signature in black ink, appearing to read "William C. Leitzinger", written over a horizontal line.